

New York State Board for Professional Medical Conduct

433 River Street, Suite 303 • Troy, New York 12180-2299 • (518) 402-0863

Antonia C. Novello, M.D.,M.P.H., Dr. P.H. Commissioner NYS Department of Health

Dennis P. Whalen Executive Deputy Commissioner NYS Department of Health

Dennis J. Graziano, Director Office of Professional Medical Conduct Public

Kendrick A. Sears, M.D. Chairman

Michael A. Gonzalez, R.P.A. Vice Chair

Ansel R. Marks, M.D., J.D. Executive Secretary

May 2, 2006

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Bernard David Raxlen, M.D. 33 Soundview Lane New Canaan, CT 06840-2732

RE: License No. 170256

Dear Dr. Raxlen:

Enclosed is a copy of Order #BPMC 06-95 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect May 9, 2006.

If the penalty imposed by this Order is a surrender, revocation or suspension, you are required to deliver your license and registration within five (5) days of receipt of this Order to:

Board for Professional Medical Conduct New York State Department of Health Hedley Park Place, Suite 303 433 River Street Troy, New York 12180

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address: Bureau of Accounts Management New York State Department of Health Corning Tower, Room 1258 Empire State Plaza Albany, New York 12237

Sincerely,

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Ansel R. Marks, M.D., J.D. Executive Secretary Board for Professional Medical Conduct

cc: Stuart B. Ratner, Esq. 111 Prospect Street Stamford, CT 06901

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

CONSENT

ORDER

BERNARD DAVID RAXLEN, M.D.

BPMC No. #06-95

Upon the application of BERNARD DAVID RAXLEN, M.D., (Respondent) in the

attached Consent Agreement and Order, which is made a part of this Consent Order, it is

ORDERED, that the Consent Agreement, and its terms, are adopted and it is further

ORDERED, that this Order shall be effective upon issuance by the Board, either by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.

SO ORDERED.

DATED: 5-1-06

KENDRICK A. SEARS, M.D. Chair State Board for Professional Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

CONSENT

AGREEMENT

BERNARD DAVID RAXLEN, M.D. CO-05-06-3314-A

AND ORDER

BERNARD DAVID RAXLEN, M.D., (Respondent) representing that all of the following statements are true, deposes and says:

That on or about June 3, 1987, I was licensed to practice as a physician in the State of New York, and issued License No. 170256 by the New York State Education Department.

My current address is 33 Soundview Lane, New Canaan, CT 06840-2732, and I will advise the Director of the Office of Professional Medical Conduct of any change of my address thirty (30) days, thereof.

I understand that the New York State Board for Professional Medical Conduct has charged me with two (2) specifications of professional misconduct.

A copy of the Statement of Charges, marked as Exhibit "A", is attached to and part of this Consent Agreement.

I do not contest Factual Allegations A and B(5) and the Second Specification, in full satisfaction of the charges against me, and agree to the following penalty:

Censure and Reprimand and;

\$2,500.00 fine.

The fine is to be paid within thirty (30) days of the effective date of this Order to the NYS Department of Health, Bureau of Accounts Management, Revenue Unit, Empire State Plaza, Corning Tower, Room 1258, Albany, NY 12237-0016.

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall maintain active registration of Respondent's license with the New York State Education, Department Division of Professional Licensing Services (except during periods of actual suspension), and shall pay all registration fees. This condition shall take effect thirty (30) days after the Consent Order's effective date and will continue so long as Respondent remains licensed in New York State; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Order and in its investigations of all matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Order. Respondent shall meet with a person designated by the Director of OPMC, as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Order shall constitute misconduct as defined by New York State Education Law §6530(29).

I agree that if I am charged with professional misconduct in the future, this Consent Agreement and Order shall be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to the Public Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, or upon facsimile transmission to me or my attorney, whichever is first. The Order, this agreement, and all attached Exhibits shall be public documents, with only patients identities, if any, redacted. I stipulate that the proposed sanction and Order are authorized by Public Health Law Sections 230 and 230-a and that the Board for Professional Medical Conduct and the Office of Professional Medical Conduct have the requisite powers to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens c a hearing on the merits, I knowingly waive my right to contest the Consent Order for which I apply, whether administratively or judicially, I agree to be bound by the Consent Order, and asi that the Board adopt this Consent Agreement.

AFFIRMED:

4/17/06 DATED

BERNARD DAVID RAXLEN, M.D. Respondent

The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms and conditions thereof.

4/17/06 DATE:

DATE:

Attorney for Respondent

ROBERT BOGAN

ROBERT BUGAN Associate Counsel Bureau of Professional Medical Conduct

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Office of Professional Medical Conduct

BERNARD DAVID RAXLEN, M.D., Respondent, was authorized to practice medicine in New York state on June 3,1987, by the issuance of license number 170256 by the New York State Education Department.

FACTUAL ALLEGATIONS

A. On or about May 17, 2005, the State of Connecticut, Department of Public Health, Bureau of Healthcare Systems, (hereinafter "Connecticut Board"), by a Consent Order (hereinafter "Connecticut Decision"), reprimanded Respondent's license to practice medicine and required him to pay a \$15,000.00 civil penalty, based on having refused to provide patients' records to patients' insurance companies and patients, having lost the record of patient, and having sent an insurance company records of a patient not a member of the insurance company and for whom he did not have a release.

B. The conduct resulting in the Connecticut Board's disciplinary action against Respondent would constitute misconduct under the laws of New York State, pursuant to the following sections of New York State law:

1. New York Education Law §6530(3) (negligence on more than one occasion);

2. New York Education Law §6530(16) (a willful or grossly negligent failure to comply with federal, state, or local laws, rules, or regulations governing the practice of medicine);

3. New York Education Law §6530(22) (failing to make available to a patient, upon request, copies of documents which have been prepared for and paid for by the patient);

4. New York Education Law §6530(23) (revealing of personality identifiable facts, data, or information obtained in a professional capacity without the prior consent of the patient); and/or

5. New York Education Law §6530(32) (failure to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient).

SPECIFICATIONS FIRST SPECIFICATION

Respondent violated New York Education Law §6530(9)(b) by having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York state, constitute professional misconduct under the laws of New York state, in that Petitioner charges:

1. The facts in Paragraphs A and/or B.

SECOND SPECIFICATION

Respondent violated New York Education Law §6530(9)(d) by having disciplinary action taken by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the disciplinary action would, if committed in New York state, constitute professional misconduct under the laws of New York state, in that Petitioner charges:

2. The facts in Paragraphs A and/or B.

DATED: DATED: Albany, New 2006

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PETER D. VAN BUREN Deputy Counsel Bureau of Professional Medical Conduct